



Electrochemical Stability of $Li_{6.5}La_3Zr_{1.5}M_{0.5}O_{12}$ (M = Nb or Ta) against Metallic Lithium

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The electrochemical stability of Li_{6.5}La₃Zr_{1.5}Nb_{0.5}O₁₂ (LLZNO) and Li_{6.5}La₃Zr_{1.5}Ta_{0.5}O₁₂ (LLZTO) against metallic Li was studied using direct current (DC) and electrochemical impedance spectroscopy (EIS). Dense polycrystalline LLZNO ($\rho = 97\%$) and LLZTO ($\rho = 92\%$) were made using sol–gel synthesis and rapid induction hot-pressing at 1100°C and 15.8 MPa. During DC cycling tests at room temperature (± 0.01 mA/cm² for 36 cycles), LLZNO exhibited an increase in Li–LLZNO interface resistance and eventually short-circuiting while the LLZTO was stable. After DC cycling, LLZNO appeared severely discolored while the LLZTO did not change in appearance. We believe the increase in Li–LLZNO interfacial resistance and discoloration are due to reduction of Nb⁵⁺ to Nb⁴⁺. The negligible change in interfacial resistance and no color change in LLZTO suggest that Ta⁵⁺ may be more stable against reduction than Nb⁵⁺ in cubic garnet versus Li during cycling.

Keywords: garnet stability, LLZO, electrochemical stability, ceramic electrolyte, interfacial resistance

INTRODUCTION

Improving the performance and safety of batteries may be achieved through the development and integration of solid-state ceramic electrolytes into solid-state batteries (Salam et al., 1999; Dudney et al., 2015). While numerous solid-electrolytes exhibit high conductivity, few examples of viable bulk-scale solid-state batteries have been reported (Knauth, 2009; Dudney et al., 2015). One of the challenges in developing solid-state batteries stems from the lack of understanding of solid electrode-solid electrolyte interface stability, specifically of the Li metal-solid electrolyte interface. Owing to the electropositive nature of Li, there are few examples of bulk oxide solid-electrolytes that are stable at 0 V versus Li/Li⁺. The Perovskite-type Li-ion conductor, lithium lanthanum titanate (LLTO), exhibits one of the highest bulk ionic conductivities (~1 mS/cm) at room temperature (RT) (Inaguma et al., 1993). Similarly, Aono et al. (1990) reported NASICON (Na superionic conductor) type Li_{1.3}M_{0.3}Ti_{1.7}(PO₄)₃ (M = Al or Sc, LATP) also exhibits high bulk ionic conductivity (0.7 mS/cm) at RT. However, LLTO and LATP contain Ti⁴⁺, which spontaneously reduces to Ti³⁺ upon contact with metallic Li. In addition, despite its known stable cycling behavior, Schwöbel et al. (2015) found LIPON decomposed into Li₃PO₄, Li₃P, Li₃N, and Li₂O when paired with a metallic Li anode. Thus, cells using LIPON to enable Li anodes likely form a kinetically limited passivation layer. Recent reports of garnet type electrolyte suggest that the formulation consisting of lithia, lanthania, and

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zirconia is stable against metallic Li (Murugan et al., 2007). However, it is known that stoichiometric Li₇La₃Zr₂O₁₂ (LLZO) results in the tetragonal polymorph with Li-ion conductivities in the 10⁻⁵ S/cm range at 25°C (Awaka et al., 2009; Wolfenstine et al., 2012; Thompson et al., 2014). We and others have demonstrated that ~0.4–0.5 mol of Li vacancies are required to stabilize the higher conductivity (~10⁻⁴ to 10⁻³ S/cm at 25°C) cubic garnet type polymorph (Geiger et al., 2011; Rangasamy et al., 2012; Thompson et al., 2014, 2015). For example, when approximately >0.2 mol of Al³⁺ or Ga³⁺ substitute for Li, >0.4 mol of Li vacancies are created in the LLZO lattice, thus stabilizing the cubic garnet type polymorph (Geiger et al., 2011; Rangasamy et al., 2012). Similarly, when approximately 0.25-0.5 mol of Nb or Ta substitute for Zr, 0.25–0.5 mol of Li vacancies are created, respectively, thus stabilizing the cubic garnet type polymorph (Ohta et al., 2011; Adams and Rao, 2012; Miara et al., 2013). It has been shown that the latter doping scheme (doping on the Zr site) is the approach that results in the highest bulk ionic conductivities approaching 1 mS/cm at 25°C (Ohta et al., 2011; Miara et al., 2013; Thompson et al., 2015). Overall, correlating LLZO formulations with conductivity is reasonably well understood, but understanding the effect of cubic garnet type stabilizing dopants on stability against Li metal is not. Thus, the purpose of this work was to study the electrochemical stability against Li metal for the highest known conductivity garnet type formulations; Li_{6.5}La₃Zr_{1.5}Nb_{0.5}O₁₂ (LLZNO) and $Li_{6.5}La_3Zr_{1.5}Ta_{0.5}O_{12}$ (LLZTO).

In this study, cubic garnet type LLZNO and LLZTO powders were synthesized using a sol-gel method taking care to prevent Al contamination. The powders were densified using rapid induction hot-pressing (RIHP) to produce >92% relative density. To achieve high phase purity (e.g., limit the commonly observed pyrochlore La₂Zr₂O₇ phase) excess Li₂CO₃ was added after calcination and before hot-pressing. The resulting pellets were characterized in Li-garnet–Li cells for electrochemical stability. Electrochemical impedance spectroscopy (EIS) and direct current (DC) electrochemical techniques were used to characterize interfacial stability. It will be shown that of these two high conductivity garnet type formulations, the Ta dopant is more electrochemically stable against Li metal than Nb.

MATERIALS AND METHODS

Powder Preparation

Cubic Al-free LLZTO and LLZNO with the nominal composition $Li_{6.5}La_3Zr_{1.5}Ta_{0.5}O_{12}$ and $Li_{6.5}La_3Zr_{1.5}Nb_{0.5}O_{12}$ were prepared using a sol-gel synthesis method, respectively (Sakamoto et al., 2013). LiNO₃·x6H₂O (x = 0.5, 99.999%, Alfa Aesar), La(NO₃)₃·6H₂O (99.9% Sigma Aldrich), Zr(OH₇C₃) (70 wt.% in 1-propanol, Sigma Aldrich), and Nb(OCH₂CH₃)₅ (99.95%, Sigma Aldrich) and/or Ta(CH₃C₂H₂O)₅ (99.98%, Sigma Aldrich) were used as the sol-gel precursors. 1-propanol (anhydrous 99.7%, Sigma Aldrich) and CH₃OCH₂CH₂OH (2-MOE, anhydrous 99.8%, Sigma Aldrich) were used as a solvent, and acetic acid (AC, 1.0M CH₃COOH, Fluka) was used as a chelating agent. The sol-gel process flow chart is shown in **Figure 1**. The Li and La precursors were dissolved in 1-propanol, and Zr and Nb (or Ta) precursors were dissolved in



2-MOE. After the precursors were dissolved, the solutions were combined and stirred until gelation occurred (approximately 45 min) followed by 12 h of aging to assure the reaction was complete. The gels were dried at room temperature for 9 h followed by drying at 450°C for 4 h under air to eliminate organics. The dried powder was cold-pressed into pellets at 10 MPa in a 2 cm diameter stainless steel die. The cold-pressed pellets were then calcined at 900°C for 4 h in an MgO crucible under air. The heating rate was 5°C/min. After calcination, the pellets were manually crushed with an agate mortar and pestle followed by ball-milling for 15 min at 350 rpm using a planetary mill (PM 100; Retsch, Haan, Germany). 80 mL agate vial and 6 agate balls of 10 mm diameter were used 6 and 11 wt.% excess Li₂CO₃ (99.9% Alfa Aesar) were added to LLZNO and LLZTO, respectively, to compensate for Li loss during calcination and densification.

Densification

Al-free LLZNO and LLZTO powders were hot-pressed at 1100°C using a RIHP. A 1.27 cm bore graphite die was used as the susceptor in flowing argon (Rangasamy et al., 2012; David et al., 2015). Since LLZNO and LLZTO powders included excess Li₂CO₃, which melts at ~725°C, a two-step heating profile was used. First, the powder was heated at 1100°C for 15 min without pressure to prevent expulsion of molten Li₂CO₃, followed by the application of 15.8 MPa pressure for 45 min. The cooling rate was 6°C/min. After hot-pressing, each pellet was mounted in Crystalbond® wax and cut into two discs using a diamond saw. To ensure parallel surfaces, the discs were ground with sand paper (400 grit Black ice dry/wet sand paper, Norton Corporation, USA) using a lapping fixture (Model 900; Southbay Technologies, San Clemente, CA, USA) (Kim et al., 2016). The discs were stored in an argonfilled glove box (<1 ppm O_{2} , <1 ppm H_2O) to minimize surface contamination (Jin and McGinn, 2013; Larraz et al., 2015).

Characterization

The relative densities were defined by dividing the geometric by the theoretical density. The theoretical density was determined by dividing the mass of the atoms in a unit cell by the volume of unit cell determined using X-ray diffraction refinement (XRD, Rigaku Miniflex 600 system, 40 kV and 25 mA).

The phase purity was determined using XRD and Raman spectroscopy (inVia confocal Raman microscope, UK) on of LLZNO and LLZTO before and after cycling test. Raman spectroscopy was performed using a 532 nm green laser and an 1800 line per millimeter holographic grating.

To characterize the stability of the hot-pressed LLZTO and LLZNO samples against Li metal, Li–LLZNO (or LLZTO)–Li symmetric cells were assembled in an argon-filled glove box. To remove the Li₂CO₃ and LiOH surface contamination layers (Jin and McGinn, 2013; Larraz et al., 2015), the hot-pressed LLZNO and LLZTO discs were dry polished with sand paper on a glass plate in the argon-filled glove box. Li foil (Alfa Aesar) was scraped using a spatula to remove the oxide surface layer. A 465 N uniaxial force was applied to the cells and measured using a compression load cell (Omega, LC304-1k, New England, USA).

Direct current cycling was conducted using a potentiostat (VMP300, Bio-Logic, Knoxville) at $23.5 \pm 1^{\circ}$ C in the argon-filled glove box. Prior to cycling tests, preconditioning cycles were conducted to lower the cell impedance between the LLZNO (or LLZTO) and the Li electrodes. The preconditioning cycles were carried out using $\pm 0.01 \text{ mA/cm}^2$ at 70°C for 10 cycles (each cycle for 2 h). After preconditioning, DC cycling tests were performed at $23.5 \pm 1^{\circ}$ C for 36 cycles using $\pm 0.01 \text{ mA/cm}^2$. The cell impedance was characterized using EIS between 1 Hz to 7 MHz using the 100 mV perturbation amplitude.

The microstructure of the hot-pressed LLZNO and LLZTO pellets was examined using an optical microscope (Meiji EMZ-13TR, Japan) in the argon-filled glove box.

RESULTS AND DISCUSSION

Materials Characterization

The XRD patterns after hot-pressing for LLZNO and LLZTO with/ without 6 and 11 wt.% Li₂CO₃ and the reference pattern for cubic garnet LLZO are shown in Figure 2. All the hot-pressed samples consisted of the cubic garnet phase, however some secondary phases were observed in the hot-pressed LLZNO and LLZTO without excess Li₂CO₃. The predominant secondary phase was pyrochlore (La₂Zr₂O₇) and was present at ~6.2 and 17.7 wt.% estimated from XRD in LLZNO and LLZTO, respectively. Based on the wt.% fraction of pyrochlore present, the excess Li₂CO₃ required to compensate for Li loss was empirically determined to be 6 and 11 wt.% for LLZNO and LLZTO, respectively. Consequently, the hot-pressed cubic LLZNO and LLZTO with no observable secondary phases were obtained except for a small amount of an unknown phase that was present in the hot-pressed LLZNO with 6 wt.% excess Li₂CO₃ (Figure 2). The relative densities of the hot-pressed samples were 97% for LLZNO and 92% for LLZTO.



FIGURE 2 | X-ray diffraction patterns of hot-pressed Li_{6.5}La₃Zr_{1.5}Ta_{0.5}O₁₂ with 0 and 11 wt.% excess Li₂CO₃, and Li_{6.5}La₃Zr_{1.5}Nb_{0.5}O₁₂ with 0 and 6 wt.% excess Li₂CO₃, respectively. *Pyrochlore (La₂Zr₂O₇) and \checkmark (unknown phase).



Initial and after Preconditioning Electrochemical Impedance

Dramatically different cell impedance was observed when comparing LLZNO and LLZTO (**Figure 3**). The impedance spectra are composed of a small semicircle in the high frequency range from 120 kHz to 7 MHz, which is attributed to the total resistance (R_{total}) of the bulk and grain boundaries for the hot-pressed LLZNO (or LLZTO). The relatively large semicircle in the low frequency range between 1 Hz and 120 kHz is attributed to the interfacial resistance (R_{int}) between the hot-pressed LLZNO (or LLZTO) and the Li electrode (**Figure 3**). To determine each impedance and capacitance component, equivalent circuit modeling was conducted. The refined capacitance values for the total resistance of LLZNO (or LLZTO) fall within the range between 10^{-12} and 10^{-8} F/cm². In addition, the refined capacitance values for the interfacial resistance between LLZNO (or LLZTO) and the Li electrodes fall within the range of 10^{-7} – 10^{-5} F/cm². These values are in agreement with the expected capacitance values of the respective charge transport phenomena (Irvine et al., 1990; Thompson et al., 2014; Sharafi et al., 2016). In addition, the total ionic conductivities were 0.48 and 0.66 mS/cm for the hot-pressed LLZTO and LLZNO calculated from the resistance values ($R_{LLZTO} = 156.1 \Omega \text{ cm}^2$ and $R_{LLZNO} = 280.9 \Omega \text{ cm}^2$), respectively. These values are in agreement with the reported values in the literature (Ohta et al., 2011; Liu et al., 2014). From Figure 3, it is observed that interfacial resistances ($R_{int,LLZTO} = 373.6 \,\Omega \,cm^2$ and $R_{int,LLZNO} = 22,924 \,\Omega \,cm^2$) are relatively large compared to the total cell resistances. The large difference in Li electrode interfacial resistance between the Li-LLZTO and Li-LLZNO could be affected by the difference in relative density ($\rho_{LLZTO} = 92\%$ and $\rho_{\text{LLZNO}} = 97\%$) of the hot-pressed samples, which would enhance wettability and increase surface area. However, it cannot fully explain the ~61 times higher interfacial resistance of Li-LLZNO compared to that of Li-LLZTO. The cause of this difference will be discussed later. It is known that the Li-garnet interfacial resistance can be reduced by simultaneously heating and cycling (Sharafi et al., 2016). It was suggested that the heat and cycling

increased wetting and physical contact between LLZTO (or LLZNO) and the Li electrodes. To emulate previous work, preconditioning was performed at low current density (± 0.01 mA/ cm²) for 10 cycles at 70°C. The EIS results after preconditioning are shown in **Figures 4A,B**. A comparison of **Figures 3** and **4** reveals that preconditioning reduced the interfacial resistances by ~39% for the hot-pressed LLZTO (**Figure 4A**) and by ~7% for the hot-pressed LLZNO (**Figure 4B**).

Cycling and Electrochemical Impedance

The results of DC cycling and EIS of the LLZNO and LLZTO cells are shown in **Figure 4**. The EIS spectra were measured after preconditioning (0 cycle), 4, 8, 12, 20, 28, and 36 cycles. From **Figure 4A**, it can be observed that the interfacial resistance of the LLZTO cells remained nearly constant during cycling. It can also be observed that the LLZTO sample exhibited stable DC cycling behavior up to 36 cycles (**Figure 4C**). This suggests that LLZTO is stable against Li during cycling. In contrast, the impedance of the LLZNO cell was dramatically reduced, compared to before preconditioning, after four DC cycles (**Figure 4B**). We believe that the decrease in interfacial resistance during the first four





cycles was due to the plating of Li at the Li-LLZTO interface, which improved contact and reduced interfacial impedance as suggested by Gibson (1976). Gibson (1976) observed a reduction in interfacial impedance after the first few cycles of Na-beta alumina. The increase in impedance with continued cycling suggests that some reaction between LLZNO and Li electrodes had occurred. It is known that Nb5+ can be reduced to Nb⁴⁺ at relatively low potentials (Kodama et al., 2006; Wang et al., 2011). Therefore, the reduction of Nb⁵⁺ may adversely affect charge transport in LLZNO and/or the formation of a passivating layer. Previous work observed similar behavior in Li_{6.25}La₃Zr_{1.25} Nb_{0.75}O₁₂ compared to Li_{6.25}La₃Zr_{1.25}Ta_{0.75}O₁₂ (Nemori et al., 2015). Further proof of some interaction between LLZNO and the Li electrodes can observed in Figure 4D where short-circuiting of the Li-LLZNO-Li cell occurred during cycling. The potential of the Li-LLZNO-Li cell dramatically decreased after 18 cycles. The occurrence of short-circuiting during DC cycling was in agreement with the EIS measurements (inset in Figure 4B) after 20 cycles, where a significant reduction in LLZNO impedance was observed. We believe the short-circuiting was due to the propagation of a Li dendrite through LLZNO (Ishiguro et al., 2013). After 20 cycles, the LLZNO DC cycling behavior was erratic and likely due to Li dendrite forming and breaking-up during continued cycles as observed by Buga et al. (2005). At cycle 36, a stable Li dendrite likely formed, thus resulting in a short-circuiting.

Characterization after Cycling

The optical images of the hot-pressed LLZTO and LLZNO after 36 cycles of DC cycling are shown in **Figure 5**. Aside from the shiny metallic spots (Li metal) embedded in pores (~8% porosity), there was no evidence of severe discoloration on the

surface of the hot-pressed LLZTO before and after DC cycling (Figure 5A). Conversely, severe macroscopic discoloration was observed on the surface of the hot-pressed LLZNO (Figure 5B). The optical analysis is consistent with the result previously reported by Nemori et al. (2015) and observance of a significant change in the Li-LLZNO impedance spectra (Figure 4). The color change in the DC cycled hot-pressed LLZNO confirms that the LLZNO is unstable in contact with Li (Figure 5B). Similar discoloration phenomena has been observed in Li₃NbO₄ where darkening, such as the formation of yellow and black regions, resulted from the reduction of Nb⁵⁺ to Nb⁴⁺ (Zverev et al., 1972; DeLeo et al., 1988; Nyman et al., 2010). The color change of the hot-pressed LLZNO may be similar to what is observed in the reduction of Nb⁵⁺ in Li₃NbO₄, which resulted in the loss of Li and/or O. The reduction of Nb5+ can affect transport properties (Ishiguro et al., 2013; Nemori et al., 2015), thus a similar phenomenon may occur in the LLZNO causing the interfacial resistance to increase. Conversely, the reduction of Ta⁵⁺ is less likely compared to Nb5+, which is consistent with the observation that no apparent change in LLZTO was noted in this work (Zverev et al., 1972).

The short-circuiting phenomenon observed during electrochemical characterization (**Figures 4B,D**) also appears to correspond with the optical image of the fracture surface (**Figure 5B**). Macroscopic discoloration was observed on the face and fracture surfaces the hot-pressed LLZNO (**Figure 5B**). Thus, the discoloration through the entire LLZNO pellet could indicate the Li dendrite grew through the hot-pressed LLZNO during cycling test. On the contrary, no color change and/or an evidence of Li dendrite growth were observed on the fracture surface of the hot-pressed LLZTO (**Figure 5A**). These results are in good agreement with the stable DC cycling and EIS behavior up to 36 cycles (**Figures 4A,C**).



X-ray diffraction refinement patterns after the 36th cycle for LLZNO and LLZTO are shown in **Figure 6**. No impurity peaks were detected even though the appearance of the hot-pressed LLZNO had undergone significant discoloration after cycling test.

Raman spectroscopy was also conducted to determine if the surface chemistry of LLZNO and LLZTO changed before and after DC cycling (Figure 7). The Raman spectra were in good agreement with the results of XRD, which determined that only the cubic garnet phase was present on the surface. In addition, the Raman spectra were consistent with the spectra of cubic LLZTO reported by Thompson et al. (2014). The LLZTO band at ~640 and ~740 cm⁻¹ are related with Zr-O bond stretching (Tietz et al., 2013; Larraz et al., 2013) and Ta-O bond stretching (Thompson et al., 2014), respectively. Therefore, the LLZNO band at ~640 and ~720 cm⁻¹ of LLZNO can be assigned to the stretching of ZrO₆ and NbO₆ octahedron, respectively. In addition, no peak separation, which could have resulted from the lower symmetry found in the tetragonal phase, was observed in low frequency region (<350 cm⁻¹) after DC cycling. The results of the Raman analysis indicate that the cubic garnet phase was present despite the color change. However, it was possible that the fraction of impurity phases present were too low for detection using conventional benchtop XRD and Raman spectroscopy.

To determine if the LLZNO discoloration after DC cycling was associated with the reduction of Nb⁵⁺ to Nb⁴⁺, the sample was heated in ambient air at 600°C for 2 h. After heating in air, the dark discoloration was converted back to the original white color. In addition, Raman showed no change in the structure after cycling and re-oxidation (**Figure 7**). This suggests that the color change could be associated with a change in valence from Nb⁵⁺ to Nb⁴⁺.

CONCLUSION

The electrochemical stability of the LLZTO and LLZNO against Li was investigated. The LLZTO and LLZNO powders, which were

synthesized using sol-gel, were hot-pressed for 1 h at 1100°C, resulting in 92 and 97% relative densities, respectively. The cubic garnet type phase for both LLZTO and LLZNO were obtained by adding excess Li_2CO_3 (6 wt.% for LLZNO and 11 wt.% for LLZTO).

Direct current and EIS were conducted on Li-LLZNO (or LLZTO)-Li cells. Several observations were made from these tests. First, preconditioning at 70°C reduced cell impedance likely due to improved physical contact and wettability between the LLZNO (or LLZTO) and the Li electrode. Second, the resistance of the Li-LLZNO interface significantly decreased after four DC cycles. We believe that electrochemical Li deposition onto the surface resulted in enhanced physical contact between Li and LLZNO compared to the as-assembled cell impedance. Subsequently, the resistance of Li-LLZNO increased with continued DC cycling while that of Li-LLZTO interface remained constant up to 36 DC cycles at $23.5 \pm 1^{\circ}$ C. Third, LLZNO severely discolored while LLZTO did not change after DC cycling. We believe that the increase in interfacial resistance and discoloration in LLZNO are a result of the reduction of Nb⁵⁺ to Nb⁴⁺. The color change of DC cycled LLZNO from dark to original white after heat treatment at 600°C for 2 h supports the reduction of Nb⁵⁺ to Nb⁴⁺ during DC cycling. In contrast, there was no evidence of Ta⁵⁺ instability in LLZTO after DC cycling. Overall, the results of this study indicate while numerous supervalent dopants can stabilize the high Li-ion conducting garnet phase, not all are stable against reduction in contact with metallic Li.

AUTHOR CONTRIBUTIONS

YK conducted the majority of experiments and wrote the majority of the manuscript. AY synthesized the LLZNO and LLZTO garnet powder by sol-gel. RS performed the part of densification experiments. AS coordinated the electrochemical test conditions and analysis work. HL designed the sol-gel synthesis process and made intellectual contribution to this work. JW made intellectual contribution and provided background and data analysis of the manuscript. JS designed this work concept and made intellectual contribution and edited the manuscript.

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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